

1. Earlam R, Cunha-Melo JR. Oesophageal squamous cell carcinoma: I. A critical review of surgery. *Br J Surg* 1980;67:381-90.
2. Herskovic A, Martz K, al-Sarraf M, et al. Combined chemotherapy and radiotherapy compared with radiotherapy alone in patients with cancer of the esophagus. *N Engl J Med* 1992;326:1593-8.
3. van Hagen P, Hulshof MCCM, van Lanschot JJB, et al. Preoperative chemoradiotherapy for esophageal or junctional cancer. *N Engl J Med* 2012;366:2074-84.
4. Ajani JA, Xiao L, Roth JA, et al. A phase II randomized trial of induction chemotherapy versus no induction chemotherapy followed by preoperative chemoradiation in patients with esophageal cancer. *Ann Oncol* 2013;24:2844-9.
5. Mokdad AA, Yopp AC, Polanco PM, et al. Adjuvant chemotherapy vs postoperative observation following preoperative chemoradiotherapy and resection in gastroesophageal cancer: a propensity score-matched analysis. *JAMA Oncol* 2018;4:31-8.
6. Al-Batran S-E, Homann N, Pauligk C, et al. Perioperative chemotherapy with fluorouracil plus leucovorin, oxaliplatin, and docetaxel versus fluorouracil or capecitabine plus cisplatin and epirubicin for locally advanced, resectable gastric or gastro-oesophageal junction adenocarcinoma (FLOT4): a randomised, phase 2/3 trial. *Lancet* 2019;393:1948-57.
7. Kelsen DP, Ginsberg R, Pajak TF, et al. Chemotherapy followed by surgery compared with surgery alone for localized esophageal cancer. *N Engl J Med* 1998;339:1979-84.
8. Allum WH, Stenning SP, Bancewicz J, Clark PI, Langley RE. Long-term results of a randomized trial of surgery with or without preoperative chemotherapy in esophageal cancer. *J Clin Oncol* 2009;27:5062-7.
9. Alderson D, Cunningham D, Nankivell M, et al. Neoadjuvant cisplatin and fluorouracil versus epirubicin, cisplatin, and capecitabine followed by resection in patients with oesophageal adenocarcinoma (UK MRC OE05): an open-label, randomised phase 3 trial. *Lancet Oncol* 2017;18:1249-60.
10. Smyth EC, Gambardella V, Cervantes A, Fleitas T. Checkpoint inhibitors for gastroesophageal cancers: dissecting heterogeneity to better understand their role in first line and adjuvant therapy. *Ann Oncol* 2021 February 17 (Epub ahead of print).
11. Kelly RJ, Ajani JA, Kuzdzal J, et al. Adjuvant nivolumab in resected esophageal or gastroesophageal junction cancer. *N Engl J Med* 2021;384:1191-203.

DOI: 10.1056/NEJMe2101983

Copyright © 2021 Massachusetts Medical Society.

## Molecular Rescue in Pulmonary Arterial Hypertension

John H. Newman, M.D.

In this issue of the *Journal*, Humbert et al.<sup>1</sup> report on a new approach to the treatment of pulmonary arterial hypertension, particularly the idiopathic and heritable forms of the disease. The treatment, which is given subcutaneously every 3 weeks, is a fusion protein that impairs activation of one limb of the proliferative transforming growth factor  $\beta$  (TGF- $\beta$ ) pathways. Why would inactivation of a TGF- $\beta$  pathway be an effective approach? The answer is in the genes. Approximately 70 to 80% of cases of heritable pulmonary arterial hypertension and roughly 20 to 30% of cases of idiopathic pulmonary arterial hypertension are consequences of impaired TGF- $\beta$  function in the pathway that involves bone morphogenetic protein (BMP) receptor type 2 (*BMPR2*).<sup>2</sup> Mutation and impairment of *BMPR2* may lead to a permissive function in other proliferative and proinflammatory TGF- $\beta$  pathways that may result in pulmonary vascular occlusion and fibrosis.<sup>3</sup> Therefore, it has been inferred that unmutated *BMPR2* acts as a “brake” on these disease pathways and that mutated *BMPR2* is ineffective in blocking them. Why *BMPR2* is involved in fibrosis and occlusion of small pulmonary arterioles in addition to bone function remains a mystery.<sup>2,4</sup>

The discovery of dysfunction of *BMPR2* signaling in patients with pulmonary arterial hyper-

tension led to the hypothesis that the receptor signaling could be “rescued” pharmacologically or could be rebalanced by suppressing other sites in the large family of TGF- $\beta$  ligands and receptors.<sup>5</sup> This hypothesis is consistent with the early observation that the wild-type allele of *BMPR2* is an important determinant of net *BMPR2* function when a variant is present on the second allele.<sup>6</sup> Although attempts at rescue or rebalancing of *BMPR2* signaling have had mixed success, such measures have therapeutic potential and deserve to be pursued.<sup>2,7</sup> Many biologic approaches are under study; these include microRNAs, ligand enhancement with BMP9, blocking antibodies, and others.<sup>4,8</sup>

What is the nature of the fusion protein sotatercept? Sotatercept was designed to bind and trap the TGF- $\beta$  family ligand activin<sup>9</sup>; it consists of the extracellular domain of the human activin receptor type II A fused to the Fc domain of human IgG1. The activin receptor is involved in hereditary hemorrhagic telangiectasia, which has an infrequent but well-known association with pulmonary arterial hypertension. The activin receptor in the fusion protein binds extracellular activin and prevents it from activating the native cellular activin receptor, thus trapping it. The Fc region appears to be a stabilizing portion of the protein.<sup>10</sup>

Other biologic therapies such as adalimumab and etanercept are also Fc-linked fusion proteins.<sup>10</sup>

How effective was sotatercept in the treatment of pulmonary arterial hypertension in the trial by Humbert et al.? The trial involved patients with stable disease that was classified as World Health Organization functional class II or III. In total, 70% of the patients who received sotatercept had idiopathic or heritable disease, and 20% had pulmonary arterial hypertension associated with connective-tissue disease. Mutations in *BMPR2* rarely occur in patients with connective-tissue disease, but *BMPR-II* function may be impaired in these patients. Results from the trial are presented in the article as least-squares means, probably because of uneven recruitment at various sites, a point that was not raised in the article. The benefits of sotatercept treatment over placebo were a greater reduction in pulmonary vascular resistance (least-squares mean difference between the higher-dose [0.7 mg per kg of body weight] group and the placebo group,  $-239.5 \text{ dyn} \cdot \text{sec} \cdot \text{cm}^{-5}$ , or approximately 3 Wood units); greater improvement in 6-minute walk distance (least-squares mean difference, 21.4 m), which can be considered weak improvement, although the patients' conditions were not clinically advanced; and a reduction in the N-terminal pro-B-type natriuretic peptide level (least-squares mean difference,  $-651 \text{ pg per milliliter}$ ). We are not told whether the use of diuretic agents was altered in any patients, but such treatment is a known modifier of N-terminal pro-B-type natriuretic peptide levels. Across the sotatercept 0.3-mg-per-kilogram and 0.7-mg-per-kilogram groups, 23% of the patients had improved clinical functioning. The least-squares mean ( $\pm$ SE) change from baseline in pulmonary artery pressure in the sotatercept groups was a decrease of  $10.5 \pm 1.1 \text{ mm Hg}$  with minimal change in wedge pressure; surprisingly, there was also minimal change in cardiac output. In most previous reports of effective drugs in the treatment of pulmonary arterial hypertension, small increases in cardiac output have been observed as a component of reduction in pulmonary vascular resistance. This finding implies that sotatercept has a direct effect on the pulmonary circulation. Somewhat surprisingly, systolic excursion of the tricuspid annular plane — a measure of right ventricular contractility — remained largely unchanged, which suggests that the patients had well-compensated pulmonary arterial hyperten-

sion at the time of enrollment. Future studies involving sicker patients are warranted to evaluate the broad efficacy of the drug.

Sotatercept is a first-in-class drug with excellent theoretical potential to rebalance the TGF- $\beta$  system, and in a 24-week trial, it showed beneficial effects.<sup>1</sup> The results of the trial justify the need for longer trials and inclusion of sicker patients. Testing of other ligands and receptors in the large TGF- $\beta$  family that might be involved in the development of pulmonary arterial hypertension may also be warranted. The adverse effects that were observed during the trial were manageable and largely predictable. An increase in hemoglobin levels in some patients was predictable on the basis of results from previous studies, given that TGF- $\beta$  is involved in hematopoiesis.<sup>8</sup> Other potential long-term effects on inflammatory responses, wound healing, bone function, and right ventricular function must await longer trials. This is a propitious advance for this difficult and fatal disease.

Disclosure forms provided by the author are available with the full text of this editorial at NEJM.org.

From Vanderbilt University Medical Center, Nashville.

- Humbert M, McLaughlin V, Gibbs JSR, et al. Sotatercept for the treatment of pulmonary arterial hypertension. *N Engl J Med* 2021;384:1204-15.
- Morrell NW, Aldred MA, Chung WK, et al. Genetics and genomics of pulmonary arterial hypertension. *Eur Respir J* 2019; 53(1):1801899.
- Gomez-Puerto MC, Iyengar PV, García de Vinuesa A, Ten Dijke P, Sanchez-Duffhues G. Bone morphogenetic protein receptor signal transduction in human disease. *J Pathol* 2019;247: 9-20.
- Humbert M, Guignabert C, Bonnet S, et al. Pathology and pathobiology of pulmonary hypertension: state of the art and research perspectives. *Eur Respir J* 2019;53(1):1801887.
- Newman JH, Phillips JA III, Loyd JE. Narrative review: the enigma of pulmonary arterial hypertension: new insights from genetic studies. *Ann Intern Med* 2008;148:278-83.
- Hamid R, Cogan JD, Hedges LK, et al. Penetrance of pulmonary arterial hypertension is modulated by the expression of normal *BMPR2* allele. *Hum Mutat* 2009;30:649-54.
- Sitbon O, Gomberg-Maitland M, Granton J, et al. Clinical trial design and new therapies for pulmonary arterial hypertension. *Eur Respir J* 2019;53(1):1801908.
- Long L, Ormiston ML, Yang X, et al. Selective enhancement of endothelial *BMPR-II* with *BMP9* reverses pulmonary arterial hypertension. *Nat Med* 2015;21:777-85.
- Cappellini MD, Porter J, Origa R, et al. Sotatercept, a novel transforming growth factor  $\beta$  ligand trap, improves anemia in  $\beta$ -thalassemia: a phase II, open-label, dose-finding study. *Haematologica* 2019;104:477-84.
- Yang C, Gao X, Gong R. Engineering of Fc fragments with optimized physicochemical properties implying improvement of clinical potentials for Fc-based therapeutics. *Front Immunol* 2018;8:1860.

DOI: 10.1056/NEJMe2036314

Copyright © 2021 Massachusetts Medical Society.